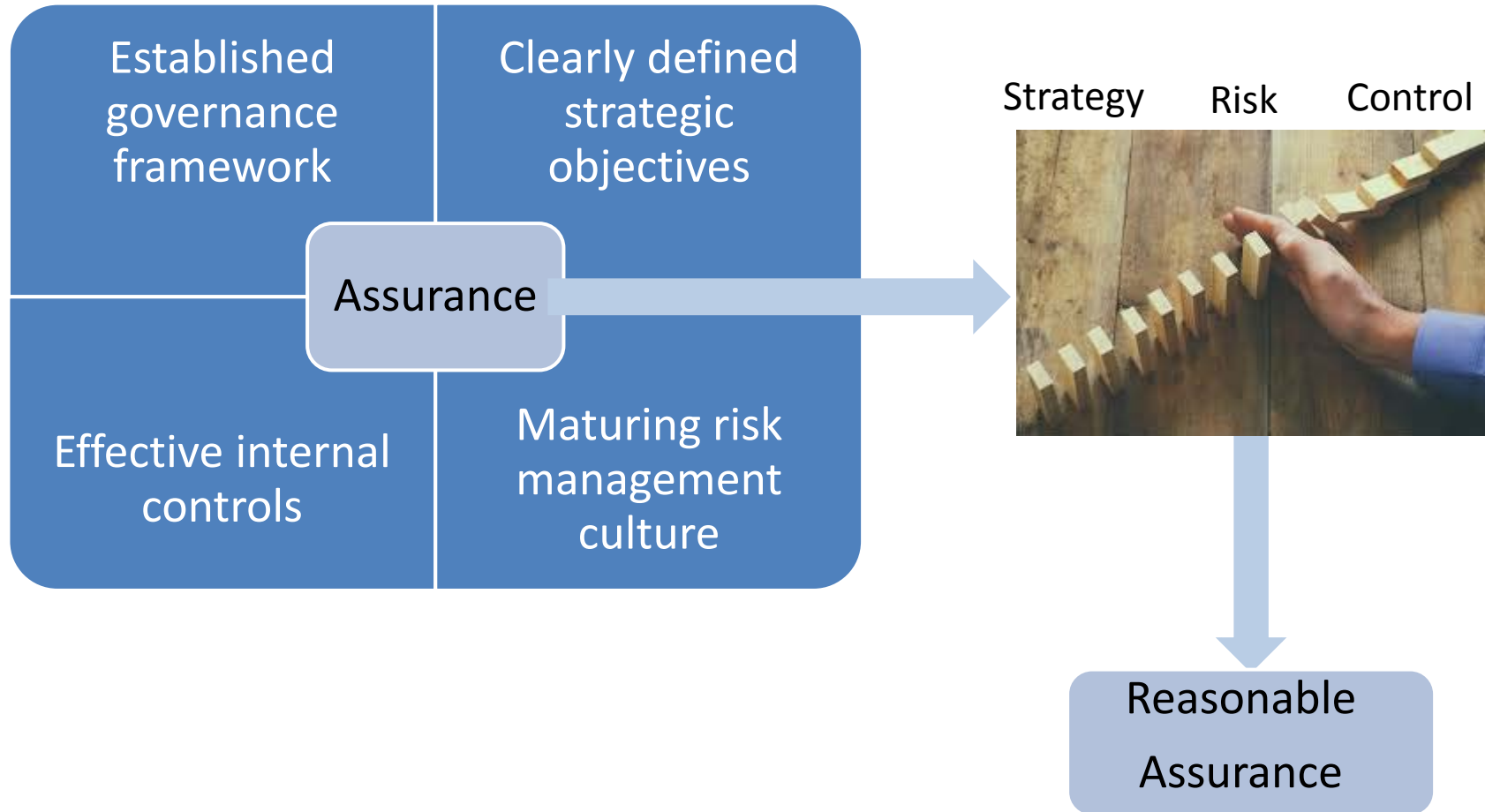


Council of Governors meeting: 17 January 2019
Agenda item: CGo.1.19.15

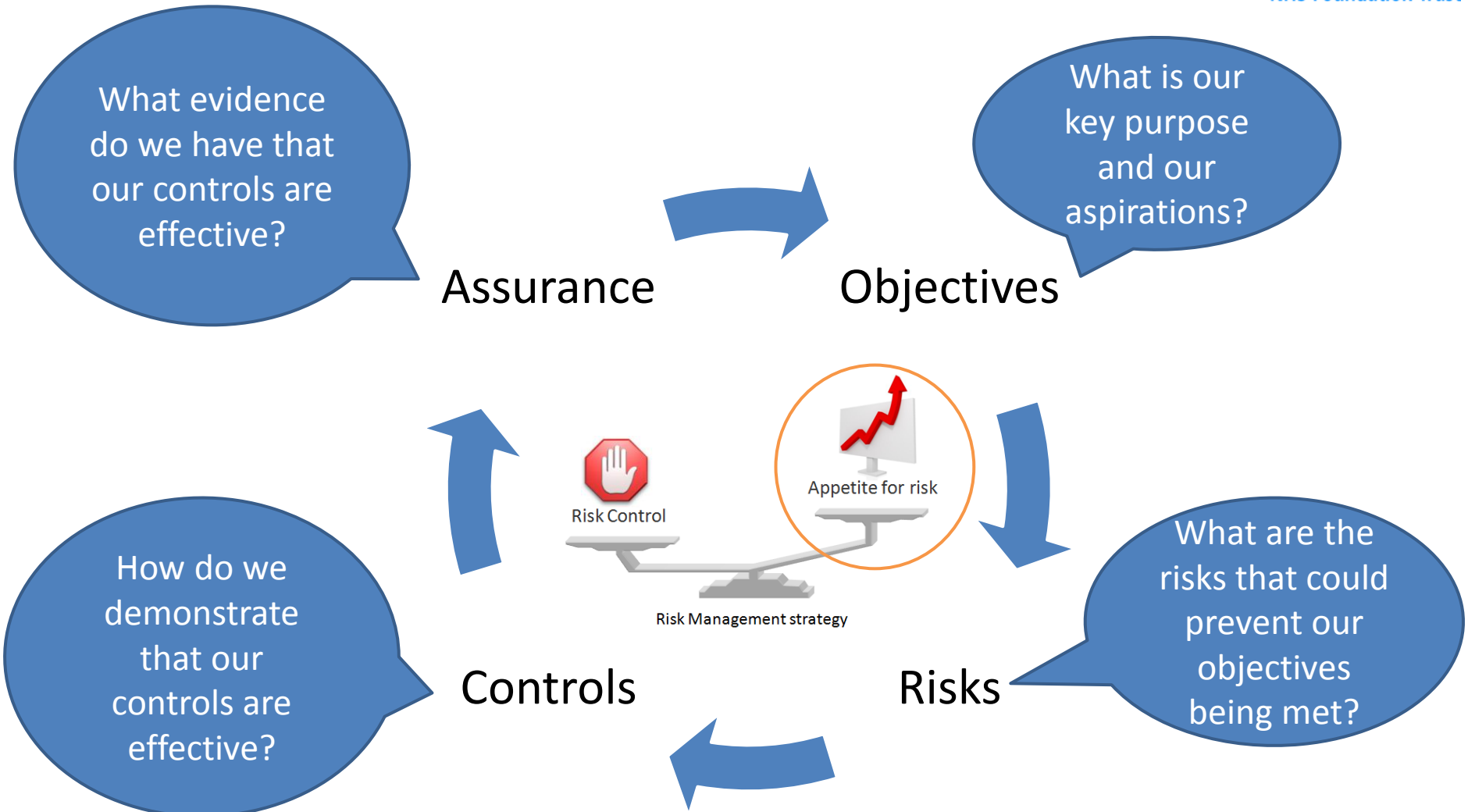
Assurance: the way forward

Tanya Claridge, Director of Governance and Corporate Affairs

We are working hard to **optimise** the assurance that underpins our annual governance statement.....



.....we first **articulated** a virtuous cycle of assurance



.....and work to **understand** what our risk appetite is,
this is key



Bradford Teaching Hospitals
NHS Foundation Trust

'the amount and type of risk that an organisation is willing to take in order to meet their strategic objectives.'

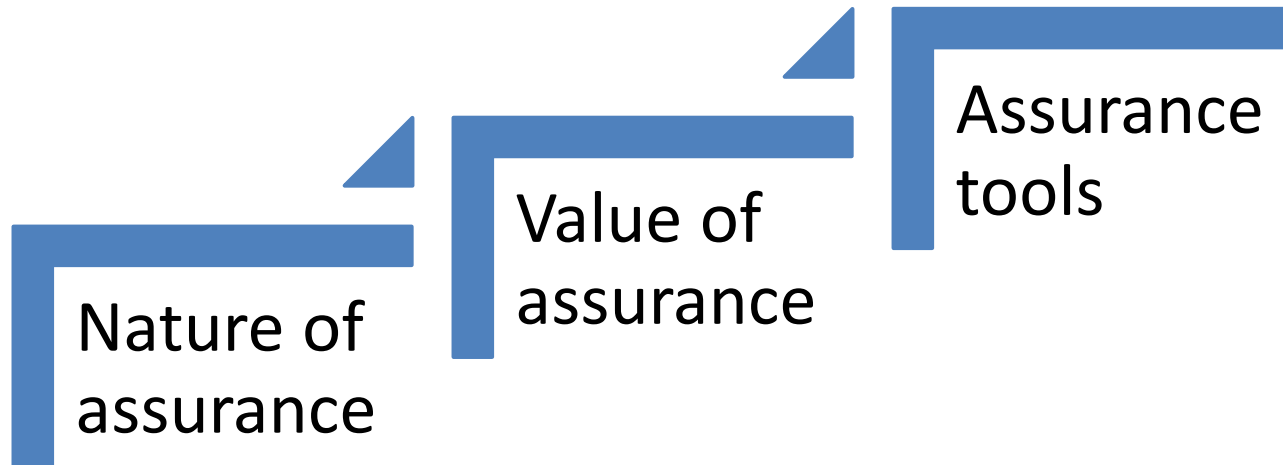
..... And what it **consists** of.....



Bradford Teaching Hospitals
NHS Foundation Trust

- Risk appetite statement
- Measurement infrastructure
- Calculation of risk profile
- Filters for other related metrics
- Reporting and monitoring infrastructure
- Limits system
- Risk dashboards

...we are **developing** a system that creates and maintains a portfolio of evidence based assurance.



...Understanding the nature of assurance is critical

Types

- Verbal, written, empirical

Levels

- Operational, Oversight, Independent

Source

- There are many, including external audit, internal audit, clinical audit, peer review, accreditation, management executive.....

... in conjunction with **evaluating** the value of that assurance....

Age – the time elapsed since assurance was obtained, this may erode the value of assurance.

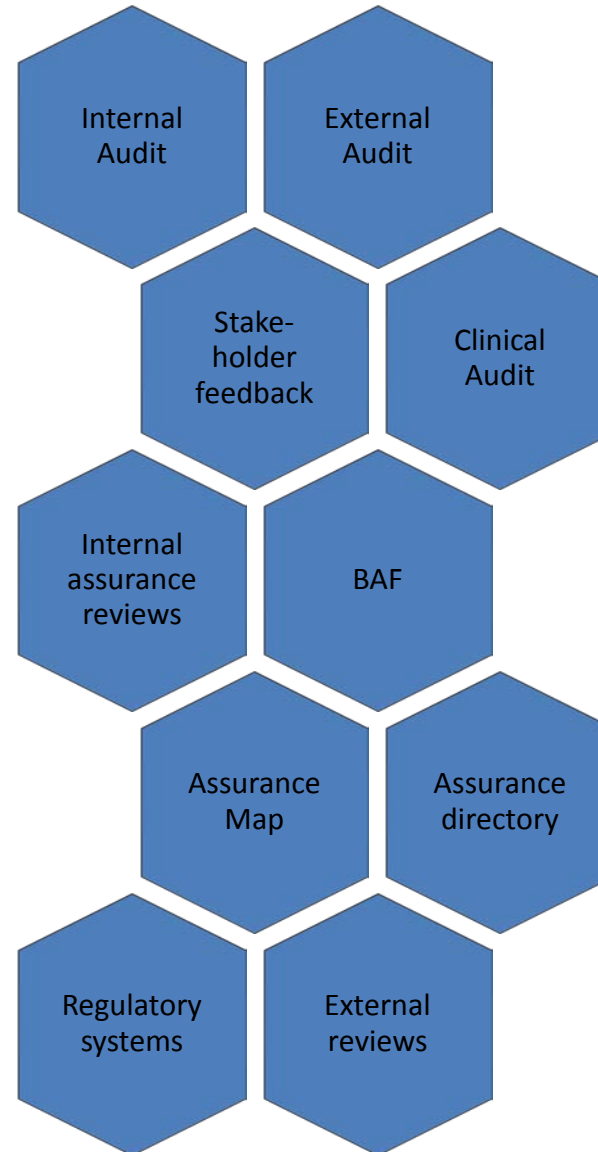
Durability – whether it endures as a permanent assurance on an historical matter e.g. Auditors Report on Financial Statements, or loses relevance over passage of time e.g. clinical audit.

Relevance – the degree to which assurances aligns to specific area or objective over which it is required.

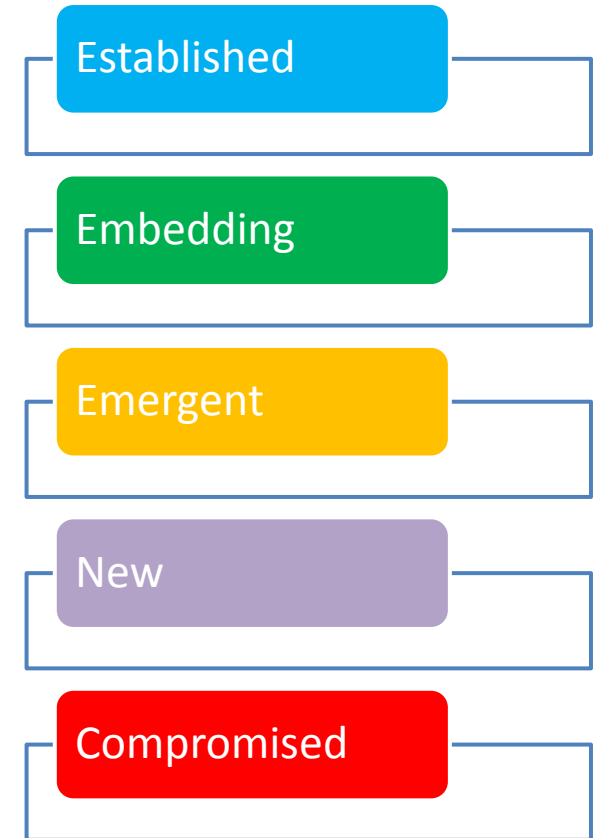
Reliability – trustworthiness of the source of assurance.

Independence – the degree of separation between the function over which assurance is sought and the provider of assurance.

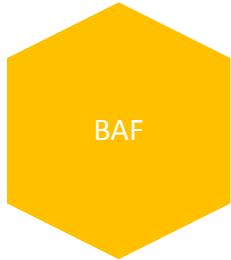
...supported by a **identifying** suite of complementary
and interdependent **assurance tools**



With a clear focus on areas which require remediation, initiation development

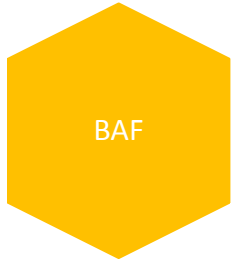


With a clear **focus** on areas which require remediation,
initiation or development



**Objective: to strengthen the Board Assurance Framework
through Committee development and refinement of
associated document**

With a clear **focus** on areas which require remediation,
initiation or development



**Objective: to strengthen the Board Assurance Framework
through Committee development and refinement of
associated document**

Corporate reporting is a **key element** to the following areas



Strengthen BAF through Committee development and refinement of associated document



To clarify and provide a 'portrait' of assurance currently provided



To identify source, type, level and relative value of different controls and associated assurance

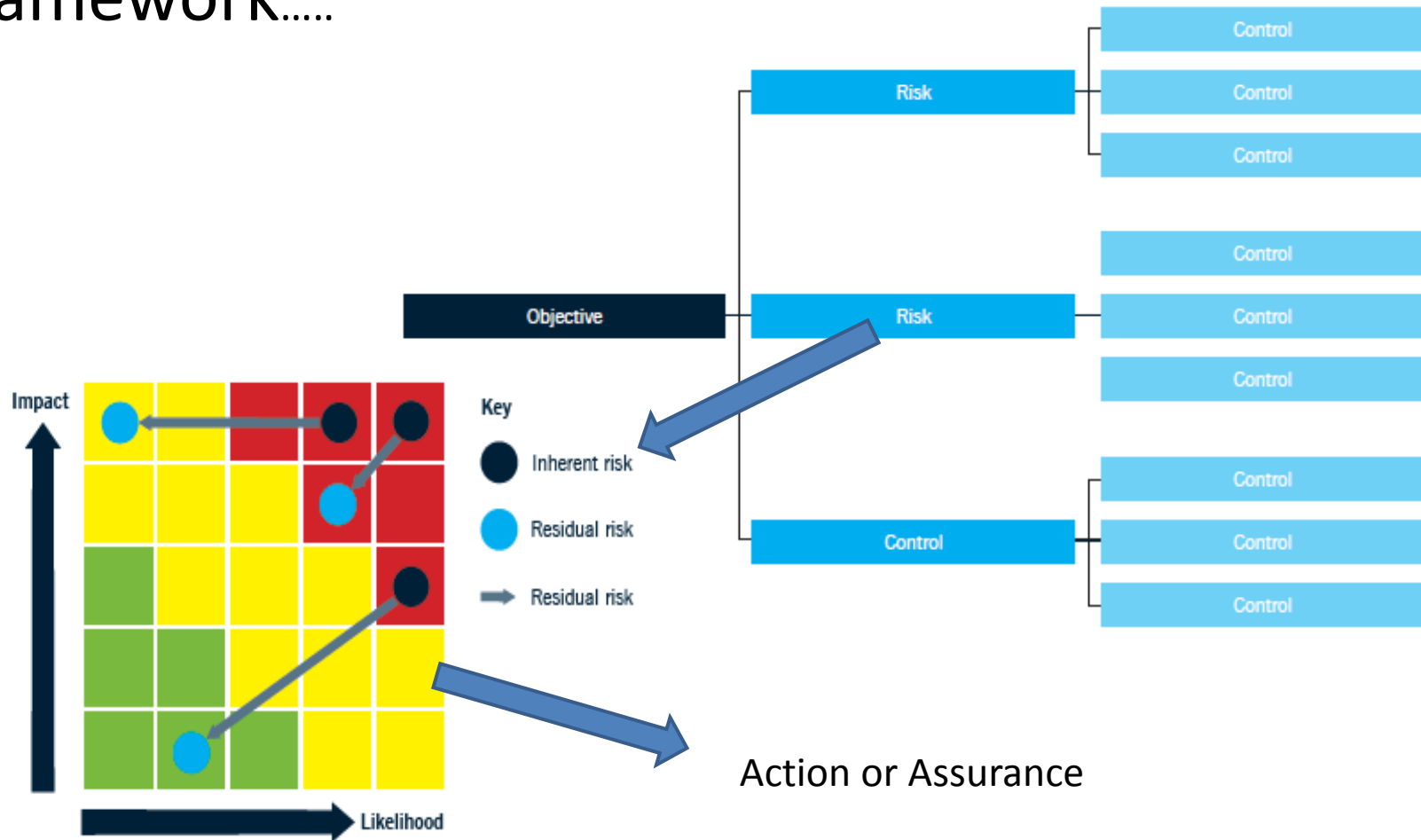
.....A walk through the Board Assurance Framework.....

The requirement to have a Board Assurance Framework forms part of the relevant governance codes and frameworks and is applicable to all providers of health and social care services in England whether the entity is private, public sector, not-for-profit or charitable.

The board assurance framework (BAF) brings together in one place all of the relevant information on the risks to the board's strategic objectives.

Executive and non-executive board members need to be comfortable that whatever the particular strategic context their organisation faces that it does deliver on its strategic objectives and manages risk without losing sight of maintaining quality and safety levels throughout the whole of their care service profile.

.....A walk through the Board Assurance Framework.....



.....A walk through the Board Assurance Framework.....

Do we really know what we think we know?